

CWS.
CONTROL WEALTH ADVISERS



Regulatory Disclosure

www.controlwealth.co.in

Regulatory Disclosure

Control Wealth Advisers is a SEBI Registered Investment Advisor (INA000019442). SEBI require RIAs to disclose complaint status on their webpage. Pursuant to the same, please refer to the data as furnished below.

Data for the month ending May, 2025

| Sr No. | Received From | Pending at the end of last month | Received | Resolved* | Pending# | Pending Complaints# | Average Resolution time (in days)^ |
|--------|-------------------------|----------------------------------|----------|-----------|----------|---------------------|------------------------------------|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | NA |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | NA |
| 3 | Other Sources | 0 | 0 | 0 | 0 | 0 | NA |
| | Grand Total | 0 | 0 | 0 | 0 | 0 | NA |

[^]Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Inclusive of complaints of previous months resolved in the current month.*

#Inclusive of complaints pending as on the last day of the month.

Trend of monthly disposal of complaints

| Sr No. | Month | Carried forward from previous month | Received | Resolved* | Pending# |
|--------|--------------------|-------------------------------------|----------|-----------|----------|
| 1 | May, 2025 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

**Inclusive of complaints of previous months resolved in the current month.*

#Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints

| Sr No. | Fiscal year | Carried forward from previous year | Received | Resolved* | Pending# |
|--------|--------------------|------------------------------------|----------|-----------|----------|
| 1 | 2025-26 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

**Inclusive of complaints of previous months resolved in the current month.*

#Inclusive of complaints pending as on the last day of the month.